



BENJAMIN F. EDWARDS®
INVESTMENTS for GENERATIONS®

NORTH DAKOTA RESIDENTS CONSENT TO DISCLOSURE OF INFORMATION

Benjamin F. Edwards & Co. (BFE) and Benjamin F. Edwards Wealth Management, LLC (EWM) thank you for your business. We appreciate the trust that you have placed in us to protect the security and confidentiality of your personal information.

Your Rights and Your Choices

North Dakota law prohibits financial institutions from disclosing your customer information to nonaffiliated third parties unless you consent (or the disclosure is otherwise permitted by law).

Please check one or both of the boxes below to consent to our sharing of your personal information for the following reasons. **If you do nothing, we will not share your customer information.**

Joint Marketing: We have entered into joint marketing agreements with other financial institutions so that we can offer additional financial products and services to you.

- Yes, I consent to BFE and EWM sharing my name, address, e-mail address, and transaction history with companies with whom they have joint marketing agreements.

Account transition: We have entered into an agreement with a number of other firms that permits your financial advisor to use your name, address, telephone number, e-mail address and account title to contact you to service your accounts if they join another firm that is a party to the same agreement.

- Yes, I consent to BFE and EWM permitting my financial advisor to use my name, address, telephone number, e-mail address and account title if my financial advisor leaves BFE or EWM to join another firm that is a party to the same agreement.

Duration of consent. Your consent will be effective until you revoke it in writing. You may revoke your consent at any time by notifying us in writing.

If you wish to specify the time during which your consent will be effective, please insert the date your consent will expire: _____. (If you do not enter a date, your consent will be effective until you revoke it, in writing.)

By completing this form and signing below, you consent to the sharing of your personal information for the reasons specified in this notice. Please return this form to us using one of the methods described below. To revoke your consent, call us at (855) 382-1600 or please notify us in writing using the addresses below.

Name [please print or type]

Account Number(s)

Signature

Date

To exercise your choice, do one of the following:

- (1) Complete this form and mail it to us at Benjamin F. Edwards & Co., One North Brentwood Boulevard, Suite 850, St. Louis, Missouri, 63105 (you may want to retain a copy); or
- (2) Send an e-mail with the subject line "North Dakota Consent" to privacy@benjaminfedwards.com. (Please do not send us your account number via e-mail.)

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